

COVER PAGE

Please type or print in ink.

NAME OF FILER (LAST)

(FIRST)

(MIDDLE)

Goeres

Thomas

2018 APR -9 PM 2:16

DEPT OF CC BUREAU  
HUMAN RESOURCES

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Department of Conservation

Division, Board, Department, District, if applicable

Division of Oil Gas and Geothermal Resources

Your Position

Senior Oil & Gas Engineer (Supervisor)

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: \_\_\_\_\_

Position: \_\_\_\_\_

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County \_\_\_\_\_

☐ County of \_\_\_\_\_

☐ City of \_\_\_\_\_

☐ Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

☐ Annual: The period covered is January 1, 2017, through December 31, 2017.

☐ Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2017.

☐ The period covered is January 1, 2017, through the date of leaving office.

-or-

☒ Assuming Office: Date assumed 03 / 05 / 2018

☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office.

☐ Candidate: Date of Election \_\_\_\_\_ and office sought, if different than Part 1: \_\_\_\_\_

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 5

Schedules attached

☒ Schedule A-1 - Investments - schedule attached

☒ Schedule C - Income, Loans, & Business Positions - schedule attached

☒ Schedule A-2 - Investments - schedule attached

☐ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET  
(Business or Agency Address Recommended - Public Document)

CITY

STATE

ZIP CODE

195 S. Broadway, Suite 101

Orcutt

CA

93455

DAYTIME TELEPHONE NUMBER

( 805 ) 465-9641

E-MAIL ADDRESS

tom.goeres@conservation.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed April 5, 2018

(month, day, year)

Signature

Thomas B. Goeres

(File the originally signed statement with your filing official.)

# SCHEDULE A-1

## Investments

Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name

Tom Goeres

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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list If "Other," describe)	IF APPLICABLE, LIST DATE (mm/dd/yyyy) ACQUIRED DISPOSED
Alibaba Group Holding LTD ADR	Information Technology	\$10,001 - \$100,000	Stock	
Alphabet Inc	Information Technology	\$10,001 - \$100,000	Stock	
Amazon Com INC	Consumer Discretionary	\$10,001 - \$100,000	Stock	
Anheuser-Busch Inbev S A/NV	Consumer Staples	\$10,001 - \$100,000	Stock	
Apple Inc	Information Technology	\$10,001 - \$100,000	Stock	
Astrazeneca PLC	Health Care	\$10,001 - \$100,000	Stock	
Banco Santander SA	Financials	\$10,001 - \$100,000	Stock	
BASF SE	Materials	\$10,001 - \$100,000	Stock	
Bayer A G	Health Care	\$2,000 - \$10,000	Stock	
Bayerische Motoren Werke A	Consumer Discretionary	\$10,001 - \$100,000	Stock	
Berkshire Hathaway	Financials	\$2,000 - \$10,000	Stock	
BNP Paribas	Financials	\$10,001 - \$100,000	Stock	
Capital One Finl Corp	Financials	\$10,001 - \$100,000	Stock	
Cisco Systems Inc	Information Technology	\$2,000 - \$10,000	Stock	
Comcast Corporation New	Consumer Discretionary	\$10,001 - \$100,000	Stock	
Cosco Wholesale Co	Consumer Staples	\$10,001 - \$100,000	Stock	
ExxonMobil	Energy	\$2,000 - \$10,000	Stock	
Freeport McMoRan	Materials	\$100,001 - \$1,000,000	Stock	
Glaxosmithkline PLC	Health Care	\$10,001 - \$100,000	Stock	
Hitachi LTD	Information Technology	\$10,001 - \$100,000	Stock	
Home Depot INC	Consumer Discretionary	\$10,001 - \$100,000	Stock	
Honeywell Intl INC	Industrials	\$10,001 - \$100,000	Stock	
HSBC Hldgs PLC	Financials	\$10,001 - \$100,000	Stock	
ING Groep N V	Financials	\$10,001 - \$100,000	Stock	
Intel Corporation	Information Technology	\$10,001 - \$100,000	Stock	

# SCHEDULE A-1

## Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name

Tom Goeres

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list If other, describe)	IF APPLICABLE, LIST DATE (mm/dd/2017) ACQUIRED DISPOSED
Intesa Sanpaolo S P A	Financials	\$10,001 - \$100,000	Stock	
Johnson & Johnson	Health Care	\$10,001 - \$100,000	Stock	
JP Morgan Chase & Co	Financials	\$2,000 - \$10,000	Stock	
L Oreal Co	Consumer Staples	\$2,000 - \$10,000	Stock	
Merck & Co INC	Health Care	\$10,001 - \$100,000	Stock	
Microsoft Corp	Information Technology	\$10,001 - \$100,001	Stock	
Mitsubishi Electric Corp	Industrials	\$2,000 - \$10,001	Stock	
Nestle S A	Consumer Staples	\$10,001 - \$100,001	Stock	
Novo-Nordisk A S	Health Care	\$10,001 - \$100,000	Stock	
Oracle Corporation	Information Technology	\$10,001 - \$100,000	Stock	
Pepsico Incorporated	Consumer Staples	\$2,000 - \$10,001	Stock	
Pfizer Incorporated	Health Care	\$10,001 - \$100,001	Stock	
Procter & Gamble	Consumer Staples	\$2,000 - \$10,001	Stock	
Reckitt Benckiser GRP PLC	Consumer Staples	\$10,001 - \$100,001	Stock	
Roche HLDG LTD	Health Care	\$2,000 - \$10,000	Stock	
Sanofi Spond	Health Care	\$2,000 - \$10,000	Stock	
SAP SE	Information Technology	\$10,001 - \$100,000	Stock	
Schlumberger	Information Technology- Energy	\$10,001 - \$100,000	Stock	
Siemens A G	Industrials	\$10,001 - \$100,000	Stock	
Societe Generale France SP	Financials	\$10,001 - \$100,000	Stock	
Softbank Group Corp ADR	Telecom Services	\$10,001 - \$100,000	Stock	
Sumitomo Mitsui Finl Group	Financials	\$10,001 - \$100,001	Stock	
Taiwan Semiconductor MFG L	Information Technology	\$2,000 - \$10,001	Stock	
Tencent Holdings Limited	Information Technology	\$10,001 - \$100,000	Stock	
UBS Group AG	Financials	\$10,001 - \$100,000	Stock	
Visa Inc Class A	Information Technology	\$10,001 - \$100,000	Stock	
Vodafone Group PLC New	Telecom Services	\$10,001 - \$100,000	Stock	
Wal-Mart Stores Inc	Consumer Staples	\$10,001 - \$100,001	Stock	
Yaskawa Electric Corp	Information Technology	\$2,000 - \$10,001	Stock	

**Investments, Income, and Assets  
of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)

\* Select from drop down list

Tom Goeres

FPPC Form 700 (2017/2018) Sch. A-2  
FPPC Toll-Free Helpline: 866/ASK-FPPC [www.fppc.ca.gov](http://www.fppc.ca.gov)

## (Other than Gifts and Travel Payments)

*\* Select from drop down list*

\*\*\*You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

FPPC Form 700 (2017/2018) Sch. C  
FPPC Toll-Free Helpline: 866/ASK-FPPC [www.fppc.ca.gov](http://www.fppc.ca.gov)